

Model-Free Adaptive Fault-Tolerant Control for Multiple Point-Mass Subway Trains With Speed and Traction/Braking Force Constraints^{*}

Haojun Wang^{*} Zhongsheng Hou^{**} Shangtai Jin^{*}

^{*} School of Electronic and Information Engineering, Beijing Jiaotong University, Beijing 100044, China (e-mail: 1712078@bjtu.edu.cn; shtjin@bjtu.edu.cn).

^{**} School of Automation, Qingdao University, Qingdao 266071, China (e-mail: zhshhou@bjtu.edu.cn; zzhou@qdu.edu.cn).

Abstract: This paper considers the model-free adaptive fault-tolerant control for a subway train based on multiple point-mass model with the actuator fault under the constraints of speed and traction/braking force. The complex subway train model is first transformed into a compact form dynamic linearization (CFDL) data model with pseudo gradient (PG). The actuator fault function is approximated with radial basis function neural network (RBFNN). Finally a fault-tolerant controller only using saturated input/output (I/O) data is designed. The effectiveness of proposed controller is illustrated by a simulation.

Keywords: Fault-tolerant control, model-free adaptive control, subway train, actuator fault, speed and traction/braking constraints, radial basis function neural network

1. INTRODUCTION

Advanced control schemes greatly guarantee the reliability and safety of subway trains. In recent years, some control schemes have been proposed for subway trains [Su et al. (2015); Howlett et al. (2009); Yang et al. (2016); Ning et al. (2015); Sun et al. (2017)]. However these results assume that subway trains operate at normal conditions. In practice, the subway train may have the actuator fault, which poses a great threat to the safety of the subway train. Thus it is necessary to design a fault-tolerant controller for the subway train. Some fault-tolerant control schemes have been proposed for trains [Song et al. (2011a,b); Li et al. (2008); Song et al. (2014); Wang et al. (2011); Li et al. (2017); Gao et al. (2015); Liu et al. (2015); Zhuan et al. (2010); Guo et al. (2017)]. Those results can be divided into two classes. One is the model-based method, and the other is data-driven one. The most model-based fault-tolerant control schemes are adaptive fault-tolerant control schemes. The data-driven fault-tolerant control schemes mainly include the fuzzy adaptive control schemes and neural network adaptive control schemes.

Generally, most existing control schemes for subway trains are based on the single point-mass model [Howlett et al. (2009); Yang et al. (2016); Ning et al. (2015); Sun et al. (2017)]. Compared with single point-mass model, multiple point-mass model can distinguish the difference between locomotives and carriages, and can describe the different resistance of each vehicle [Song et al. (2011a,b)]. Thus, the

expression of multiple mass-point model for the subway train is more accurate than single point-mass model [Song et al. (2014); Li et al. (2008); Wang et al. (2011)].

Since the basic resistance and additional resistance of each vehicle are different, and the coefficients of these resistances are also depended on the track and the operation conditions of each vehicle, thus accurate modeling to a subway train is often very difficult [Song et al. (2010, 2011a)]. Further, when a subway train operates many years, the aging and the actuator faults are usually inevitable. Modeling these factors precisely is the another challenge. Therefore, using an inaccurate train model and the existing model-based control methods, to design a train operation control system, whose control performance would be questionable. In order to solve these issues, a data-driven fault-tolerant control scheme will be utilized for the subway train in this paper.

In some fault-tolerant control schemes, usually the unknown nonlinear fault function is approximated by a neural network [Song et al. (2011a,b); Wang et al. (2011); Li et al. (2017); Gao et al. (2015); Liu et al. (2015)]. The BP neural network, radial basis function neural network (RBFNN) and wavelet neural network, are the most popular networks used in this field. Because the RBFNN has simpler structure and stronger learning ability, RBFNN will be utilized to estimate actuator fault in this paper.

Model-free adaptive control (MFAC) is proposed for a class of unknown nonlinear discrete-time systems [Hou et al. (1998, 2011)]. The basic idea of MFAC is that, the controlled plant model is first equivalently transformed into a compact form dynamic linearization (CFDL) data

^{*} This work was supported by the National Natural Science Foundation of China under Grants 61833001.

$$f_{bi} = a_0 + a_1 v_i + a_2 v_i^2, \quad (7)$$

$$f_{ai} = w_{ri} + w_{ci} + w_{ti}, \quad (8)$$

where a_{0i} , a_{1i} and a_{2i} are the resistive coefficients, w_{ri} , w_{ci} and w_{ti} are ramp resistance, curve resistance and tunnel resistance of i th vehicle, respectively..

In practice, the traction/braking actuator may lose its efficiency or even completely collapse during operation due to various kinds of reasons, such as overvoltage in traction transformer, overcurrent in traction converter, and overheat in asynchronous motor and so on [Song et al. (2014)]. The expression of the actuator fault could be described as

$$u_{ai} = p_{1i} u_{fi} + p_{2i}, \quad (9)$$

where u_{ai} is actual force applied to i th vehicle, $p_{1i} \in (0, 1]$ and $p_{2i} \in R$ are health factor and additive fault coefficient of i th vehicle, respectively [Li et al. (2017); Gao et al. (2015); Guo et al. (2017)].

Therefore, the subway train model with actuator fault can be given as

$$M\ddot{x}_1 = \lambda^T \mathbf{u}_a - F_a - F_b + F_r, \quad (10)$$

where $\mathbf{u}_a = [u_{a1}, u_{a2}, \dots, u_{an}]^T$ is actual force applied to the subway train.

It should be noted that a_{0i} , a_{1i} and a_{2i} in (7) and w_{ri} , w_{ci} and w_{ti} in (8) are difficult to obtain accurately [Song et al. (2011a,b)], which leads to the precise mathematical expressions of f_{ai} and f_{bi} are not easy to establish. Further, the mass of i th vehicle m_i may change due to passengers getting on and off the subway train. And the health factor p_{1i} and additive fault coefficient p_{2i} are also unknown in subway train operation. Therefore, the exact expression of (10) is difficult to obtain and it is unknown to the designer. However, the subway train generates a large amount of discrete time I/O data in operation, where input data is traction/braking force and output data is speed information. The I/O data of system will be used to realize the fault-tolerant control of the subway train in this paper.

Discretizing multiple mass-point with single-coordinate model (10), taking the traction/braking force $\mathbf{u}_f(k)$ and speed $v_1(k)$ as input and output, respectively, and considering the unavailable coefficients and the nonlinearity of the subway train dynamics, the general dynamics with actuator fault of a subway train can be formulated as following general form

$$v_1(k+1) = g(v_1(k), \dots, v_1(k-n_v), \mathbf{u}_f(k), \dots, \mathbf{u}_f(k-n_u), \mathbf{f}_s(k)) \quad (11)$$

where $g(\cdot) \in R$ is an unknown nonlinear function, n_v and n_u are two unknown positive integers, $\mathbf{f}_s(k) = [f_{s1}(k), f_{s2}(k), \dots, f_{sd}(k)]^T \in R^d$ is the unknown fault function vector caused by the actuator fault, d is the number of fault functions. The following assumptions are introduced for the subway train system (11).

Assumption 1 [Hou et al. (1998, 2011)]. The partial derivation of $g(\cdot)$ with respect to the $(n_v + 2)$ th variable and the $(n_v + n_u + 3)$ th variable are continuous.

Assumption 2 [Hou et al. (1998, 2011)]. The system (11) satisfies generalized Lipschitz condition, that is,

$|\Delta v_1(k+1)| \leq b \|\Delta \mathbf{U}(k)\|$, for any k and $\|\Delta \mathbf{u}_f(k)\| \neq 0$, where $\Delta v_1(k+1) = v_1(k+1) - v_1(k)$, $\Delta \mathbf{U}(k) = [\Delta \mathbf{u}_f(k), \Delta \mathbf{f}_s(k)]^T$, $\Delta \mathbf{u}_f(k) = \mathbf{u}_f(k) - \mathbf{u}_f(k-1)$, $\Delta \mathbf{f}_s(k) = \mathbf{f}_s(k) - \mathbf{f}_s(k-1)$ and b is a positive constant.

Theorem 1. Consider the nonlinear system (11) satisfying Assumption 1-2. If $\|\Delta \mathbf{u}_f(k)\| \neq 0$, then there exists a time-varying vector $\boldsymbol{\varphi}_1(k) \in R^n$, called PG, and a time-varying vector $\boldsymbol{\varphi}_2(k) \in R^d$, such that system (6) can be described as following CFDL data model,

$$\Delta v_1(k+1) = \boldsymbol{\varphi}_1(k) \Delta \mathbf{u}_f(k) + \boldsymbol{\varphi}_2(k) \Delta \mathbf{f}_s(k), \quad (12)$$

where $\boldsymbol{\varphi}_1(k) = [\varphi_{11}(k), \dots, \varphi_{1n}(k)]$, $\boldsymbol{\varphi}_2(k) = [\varphi_{21}(k), \dots, \varphi_{2d}(k)]$, $\|[\boldsymbol{\varphi}_1(k), \boldsymbol{\varphi}_2(k)]\| \leq b$.

Since the speed of subway train should not exceed the allowable maximum speed, and the traction/braking force should not exceed the corresponding maximum force. The constraints of speed and traction/braking force are given as follows.

$$\bar{v}(k) = sat_v(v_1(k)) = \begin{cases} v_{max}, & v_1(k) > v_{max} > 0 \\ v_1(k), & otherwise \end{cases} \quad (13)$$

$$\bar{u}_{fi}(k) = sat_{u_f}(u_{fi}(k)) = \begin{cases} u_{ti}, & u_{fi}(k) \geq u_{ti} > 0 \\ u_{bi}, & u_{fi}(k) \leq u_{bi} < 0 \\ u_{fi}(k), & otherwise \end{cases} \quad (14)$$

where v_{max} is the maximum speed allowed, $\bar{v}(k)$ is the measured speed, u_{ti} and u_{bi} are the maximum traction force and braking force provided of i th vehicle, respectively. $\bar{u}_{fi}(k)$ is saturated force applied to i th vehicle.

Lemma 1. According to (13), one can obtain the expression of relationship between $\bar{v}(k)$ and $v_1(k)$ as

$$\Delta \bar{v}(k) = h(k) \Delta v_1(k), \quad (15)$$

where $0 \leq h(k) \leq 1$.

The objective of this paper is to design a fault-tolerant controller for the multiple mass-point subway train under the constraints of speed (13) and traction/braking force (14) only using the measured I/O data of the subway train, which can control actual speed of the subway train to track the desired speed and overcome the impact of the actuator fault on the subway train.

2.2 Fault Approximation Mechanism

RBFNN has the capability of on-line approximation for nonlinear function, which is utilized to compensate fault function $\boldsymbol{\varphi}_2(k) \Delta \mathbf{f}_s(k)$. For convenience, $\boldsymbol{\varphi}_2(k) \Delta \mathbf{f}_s(k)$ is represented as $\xi(k)$.

The simple structure of RBFNN is shown in Fig. 2, where $R(k) = [\bar{v}_1(k), \varepsilon(k)]^T$ is the input, $\varepsilon(k) = v_1^*(k) - \bar{v}_1(k)$, $v_1^*(k)$ is the desired speed of the subway train, $\psi_i(k)$ is the radial basis function, $w_i(k)$ is the weight, $\xi(k)$ is the output layer that is expressed as

$$\xi(k) = \mathbf{w}^{*T}(k) \boldsymbol{\psi}(k) + \varsigma(k), \quad (16)$$

where $\mathbf{w}^*(k) = [w_1^*(k), \dots, w_l^*(k)]^T$ is ideal weight vector, $\boldsymbol{\psi}(k) = [\psi_1(k), \dots, \psi_l(k)]$ is Gaussian function vector and

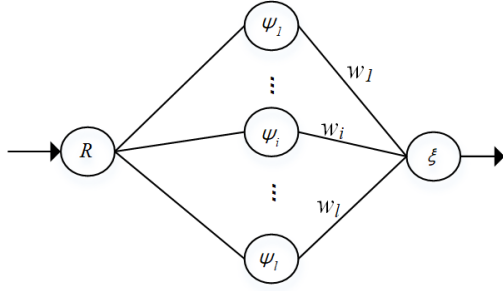


Fig. 2. The structure of RNFNN

$\varsigma(k)$ is the error of compensation [Li et al. (2017); Liu et al. (2015)]. The expression of $\psi_i(k)$ is selected as

$$\psi_i(k) = \exp\left(-\frac{\|R(k) - c_i\|^2}{2\sigma_i^2}\right), \quad (17)$$

However, The ideal weight vector $\mathbf{w}^*(k)$ is not easy to obtain. The estimation of ideal weight vector $\hat{\mathbf{w}}(k) = [\hat{w}_1(k), \dots, \hat{w}_l(k)]^T$ is calculated as

$$\Delta \hat{\mathbf{w}}(k) = \varsigma(v_1^*(k) - v_1(k))\boldsymbol{\psi}(k), \quad (18)$$

where $\Delta \hat{\mathbf{w}}(k) = \hat{\mathbf{w}}(k) - \hat{\mathbf{w}}(k-1)$.

So the estimation of $\hat{\xi}(k)$ can be expressed as

$$\hat{\xi}(k) = \hat{\mathbf{w}}^T(k)\boldsymbol{\psi}(k) \quad (19)$$

3. DESIGN OF FAULT-TOLERANT CONTROLLER

In this section, a MFAFTC scheme is designed for the actuator fault with the RBFNN. Both the speed and traction/braking force constraints are considered in the design of the controller, which can guarantee the safety of the subway train.

The following criterion function is introduced for design of controller.

$$Q(\mathbf{u}_f(k)) = (v_1^*(k+1) - v_1(k+1))^2 + \rho_1 \|\Delta \mathbf{u}_f(k)\|^2, \quad (20)$$

where $\rho_1 > 0$ is a weight coefficient.

The first item of (20) refers to the square of difference between the actual speed and the desired speed. Its numerical value can reflect the ability of the subway train to track the desired trajectory. And the second item can reflect the stationarity of the subway train operation.

According to $\partial Q(\mathbf{u}_f(k))/\partial \mathbf{u}_f(k) = 0$, the following controller can be obtained.

$$\mathbf{u}_f(k) = \mathbf{u}_f(k-1) + \frac{\beta_1 \boldsymbol{\varphi}_1^T(k)}{\rho_1 + \|\boldsymbol{\varphi}_1(k)\|^2} \times (v_1^*(k+1) - v_1(k) - \boldsymbol{\varphi}_2(k)\Delta \mathbf{f}_s(k)), \quad (21)$$

where $\beta_1 \in (0, 1]$ is a step-size constant.

The cost function of the estimation of $\boldsymbol{\varphi}_1(k)$ is designed as follows.

$$Q(\boldsymbol{\varphi}_1(k)) = (v_1(k) - v_1(k-1) - \boldsymbol{\varphi}_1^T(k)\Delta \mathbf{u}_f(k) - h(k) \times \hat{\mathbf{w}}^T(k-1)\boldsymbol{\psi}(k-1))^2 + \rho_2 \|\boldsymbol{\varphi}_1(k) - \hat{\boldsymbol{\varphi}}_1(k-1)\|^2 \quad (22)$$

where $\rho_2 > 0$ is a weight coefficient.

The first item of (22) includes the actuator fault compensation, which can overcome the impact of actuator fault to the subway train. And the (22) can solve the problem that the estimation of $\boldsymbol{\varphi}_1(k)$ is sensitive to some inexact sampling data.

According to minimization of (22), the following simplified PG estimation algorithm is used for the estimation

$$\hat{\boldsymbol{\varphi}}_1(k) = \hat{\boldsymbol{\varphi}}_1(k-1) + \frac{\beta_2 \Delta \mathbf{u}_f^T(k-1)}{\rho_2 + \Delta \|\mathbf{u}_f(k-1)\|^2} (\Delta v_1(k) - h(k) \times \hat{\mathbf{w}}^T(k-1)\boldsymbol{\psi}(k-1) - \hat{\boldsymbol{\varphi}}_1(k-1)\Delta \mathbf{u}_f(k-1)), \quad (23)$$

where $\beta_2 \in (0, 2]$ is a step step-size constant and $\hat{\boldsymbol{\varphi}}_1(k)$ is the estimation of $\boldsymbol{\varphi}_1(k)$.

Integrating (13), (14), (19) and (20)-(23), the MFAFTC scheme is constructed as follows.

$$\bar{u}_{f_i}(k) = \text{sat}_{u_f}(u_{f_i}(k)) = \begin{cases} u_{ti}, u_{f_i}(k) \geq u_{ti} > 0 \\ u_{bi}, u_{f_i}(k) \leq u_{bi} < 0 \\ u_{f_i}(k), \text{otherwise} \end{cases} \quad (24)$$

$$\mathbf{u}_f(k) = \mathbf{u}_f(k-1) + \frac{\beta_1 \boldsymbol{\varphi}_1^T(k)}{\rho_1 + \|\boldsymbol{\varphi}_1(k)\|^2} \times (v_1^*(k+1) - \bar{v}_1(k) - \hat{\mathbf{w}}^T(k)\boldsymbol{\psi}(k)), \quad (25)$$

$$\hat{\boldsymbol{\varphi}}_1(k) = \hat{\boldsymbol{\varphi}}_1(k-1) + \frac{\beta_2 \Delta \bar{\mathbf{u}}_f^T(k-1)}{\rho_2 + \Delta \|\bar{\mathbf{u}}_f(k-1)\|^2} (\Delta \bar{v}_1(k) - h(k) \times \hat{\mathbf{w}}^T(k-1)\boldsymbol{\psi}(k-1) - \hat{\boldsymbol{\varphi}}_1(k-1)\Delta \bar{\mathbf{u}}_f(k-1)), \quad (26)$$

$$\hat{\varphi}_{1i}(k) = \hat{\varphi}_{1i}(1), \text{ if } |\hat{\varphi}_{1i}(k)| \leq \delta, \text{ or } |\Delta \bar{u}_{f_i}(k)| \leq \delta, \quad (27)$$

4. SIMULATION

In this section, the performances of three controllers including MFAFTC (24)-(27), PI in [Li et al. (2006)] and the prototype MFAC in [Hou et al. (2011)] are compared by simulation results, which is illustrated the effectiveness of MFAFTC.

The number of vehicles is 6 (2 carriages and 4 locomotives), the parameters of the subway train are shown in Table 1. The each elastic coupler and basic resistance are given as (28), (29) and (30), respectively. The sampling time is 1s.

Table 1. Parameters Setting for The Subway Train

Comment	Symbol	Value
Maximum traction force (kN)	u_t	60
Maximum braking force (kN)	u_b	-60
Mass of each vehicle (ton)	M	50
Running line (m)	L	2391
Running time (s)	T	140

$$\Delta x_{d1} = \Delta x_{d3} = \Delta x_{d5} = 0.8 \sin(2k), \quad (28)$$

$$\Delta x_{d2} = \Delta x_{d4} = 0.25 \cos(k). \quad (29)$$

$$F_b = 1.02 + 0.0035v_1(k) + 0.000426v_1^2(k). \quad (30)$$

Assume that the actuator fault occurs at 70s and the actuator fault is given as

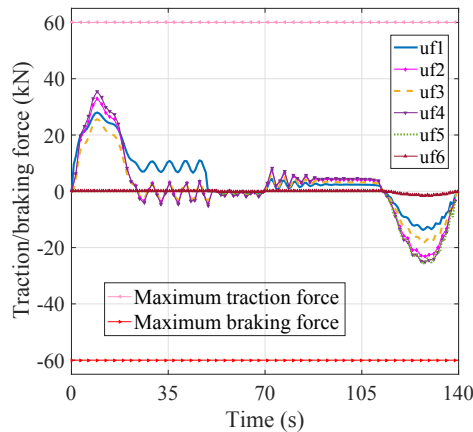


Fig. 7. Traction/braking force of MFAFTC

According to (32), the results of IAE for MFAC, PI and MFAFTC are 60.45, 57.10 and 21.65, respectively. It can be concluded that the tracking ability of MFAFTC is much better than that of MFAC and PI.

5. CONCLUSION

The MFAFTC scheme is designed for the multiple mass-point subway train model with speed and traction/braking force constraints in this paper. An equivalent CFDL data model with PG is proposed for the multiple point-mass with single-coordinate subway train model with the actuator fault. The actuator fault function is compensated by RBFNN. The simulation results are offered to verify the effectiveness of proposed control scheme. In future, we will consider the possible field application.

REFERENCES

Gao, S. G., Dong, H. R., Ning, B., Chen, Y., and Sun, X. B. (2015). Adaptive fault-tolerant automatic train operation using RBF neural networks. *Neural Computing and Applications*, 26, 141-149.

Guo, X. G., Wang, J. L., and Liao, F. (2017). Adaptive fuzzy fault-tolerant control for multiple high-speed trains with proportional and integral-based sliding mode. *IET Control Theory Applications*, 11, 1234-1244.

Hou, Z.S. and Jin, S. T. (2011). A novel data-driven control approach for a class of discrete-time nonlinear systems. *IEEE Transactions on Control Systems Technology*, 19, 1549-1558.

Hou, Z.S. and Xiong, S. S. (2011). On model-free adaptive control and its stability analysis. *IEEE Transactions on Automatic Control*, 64, 4555-4569.

Hou, Z.S., Chi, R. H., and Gao H. J. (2017). An overview of dynamic linearization based data-driven control and applications. *IEEE Transaction on Industrial Electronics*, 64, 4076-4090.

Hou, Z. S., Han, C. W., and Huang, W. (1998). The model-free learning adaptive control of a class of MISO nonlinear discrete-time systems. in *Proceedings of IFAC Symposium Low Cost Automation*, 31, 227-232.

Howlett, P. G., Pudney P. J., and Vu, X. (2009). Local energy minimization in optimal train control. *Automatica*, 45, 2692-2698.

Li, P. and Yang, G. H. (2008). Adaptive fuzzy control of unknown nonlinear systems with actuator failures for robust output tracking. in *Proceedings of American Control Conference*, 4898-4903.

Li, Y., ANG K. H., and CHONG G. C. Y. (2006) PID control system analysis and design. *IEEE Control Systems*, 26, 32-41.

Li, Y. M. and Tong, S. C. (2017). Adaptive neural networks decentralized FTC design for nonstrict-feedback nonlinear interconnected large-scale systems against actuator faults. *IEEE Transactions on Neural Networks and Learning Systems*, 28, 2541-2554.

Liu, L., Wang, Z. S., and Zhang, H. G. (2015). Adaptive NN fault-tolerant control for discrete-time systems in triangular forms with actuator fault. *Neurocomputing*, 152, 209-221.

Ning, B., Xun, J., Gao, S. G., and Zhang, L. Y. (2015). An integrated control model for headway regulation and energy saving in urban rail transit. *IEEE Transactions on Intelligent Transportation Systems*, 6, 1469-1478.

Song, Q. and Song, Y. D. (2010). Adaptive control and optimal power/brake distribution of high speed trains with uncertain nonlinear couplers. in *Proceedings of Chinese Control Conference*, 1966-1971.

Song, Q. and Song, Y. D. (2011a). Data-based fault-tolerant control of high-speed trains with traction/braking notch nonlinearities and actuator failures. *IEEE Transactions on Neural Networks*, 22, 2250-2261.

Song, Q. and Song, Y. D. (2011b). Neuroadaptive fault-tolerant control of high speed trains with input nonlinearities and actuator failures. in *Proceedings of American Control Conference*, 576-581.

Song, Q., Song, Y. D., and Cai, W.C. (2011). Adaptive backstepping control of train systems with traction/braking dynamics and uncertain resistive forces. *Vehicle System Dynamics*, 49, 1441-1454.

Song, Y. D., Song, Q., and Cai, W. C. (2014). Fault-tolerant adaptive control of high-speed trains under traction/braking failures: a virtual parameter-based approach. *IEEE Transactions on Intelligent Transportation Systems*, 15, 737-748.

Su, S., Tang, T., and Roberts, C. (2015). A cooperative train control model for energy saving. *IEEE Transactions on Intelligent Transportation Systems*, 16, 622-631.

Sun, X., Lu, H., and Dong, H. R. (2017). Energy-efficient train control by multi-train dynamic cooperation. *IEEE Transactions on Intelligent Transportation Systems*, 18, 1-8.

Wang, M. R., Song, Y. D., Song, Q., and Han, P. (2011). Fuzzy-adaptive fault-tolerant control of high speed train considering traction/braking faults and nonlinear resistive forces. in *Proceedings of International Symposium on Neural Networks*, 563-573.

Yang, X., Li, X., Ning, B., and Tang, T. (2016). A survey on energy-efficient train operation for urban rail transit. *IEEE Transactions on Intelligent Transportation Systems*, 17, 2-13.

Zhuan, X. T. and Xia, X. H. (2010). Fault-tolerant control of heavy-haul trains. *Vehicle System Dynamics*, 48, 705-735.